

**DOCKET NO. OOO-009A**

**I hereby certify that this correspondence is being sent by  
Fax transmission to Mr. John Gillan, Esq., Office of Petitions  
at 703-308-6916 on December 28, 2000.**

**For: The Gates Corporation**

Signature Jonis L. Walker Date signed: December 28, 2000

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

## Sevastian

**Serial Number: 09/616,746**

**Filed: July 14, 2000**

**Entitled:**        **Lens**

**Examiner:**

**Group Art Unit:**

### **§1.48(a) Petition to Correct Inventorship**

FAX RECEIVED

MAR 12 2001

**PETITIONS OFFICE**

**By Fax 703-308-6916**

**John Gillon, Esq.  
Office of Petitions  
Box DAC  
Commissioner for Patents  
Washington, DC 20231**

**Dear Sir:**

This Petition is filed in accordance with §1.48(a) and per our telephone conversation this morning.

The subject application was filed pursuant to §1.47(b). Included with the application was the Declaration and Power of Attorney signed by Mr. James Nelson, ~~on behalf of and as General Counsel for The Gates Corporation.~~ Mr. Nelson signed the Declaration on advice of the undersigned without deceptive intent in an effort to satisfy the requirements of §1.47(b), namely, to provide a person signing on behalf of the juridical entity having a proprietary interest in the invention, The Gates Corporation. The Gates Corporation holds equitable title to the invention as stated in the §1.47(b) Petition dated July 13, 2000 (not attached).

In accordance with our telephone conversation this morning, this Petition is filed to request deletion of Mr. Nelson, and his signature, from the Declaration and list of inventors for the subject application.

As required by §1.48(a)(1)-(2), also attached to this Petition are the following.

- 1) Declaration of Mr. Nelson stating he signed the Declaration without deceptive intent.
- 2) Declaration and Power of Attorney under §1.47(b) with Mr. Sevastian shown as inventor with Mr. Nelson deleted. The §1.47(b) Petition dated July 13, 2000 (not attached) represents that Mr. Sevastian earlier refused to execute the Declaration.

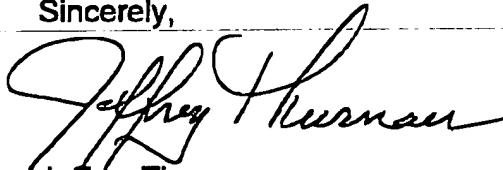
Therefore, it is respectfully requested that Mr. Nelson be removed from the application as an inventor, that the application be amended accordingly, that the attached Declaration be entered, and that a corrected Filing Receipt be issued reflecting this change.

#### Fees

The Office is authorized to deduct the fee for this Petition required by §1.48(a)(3), as set forth in §1.17(i), from Deposit Account 7-0475.

Thank you for your assistance in this matter. If this Petition not fully responsive to the instant circumstances, please call me at the number noted below.

Sincerely,



Jeffrey Thurnau

~~Attorney for Applicant~~ 98

Reg. No. 42,183

303-744-4743

Date: Dec. 28, 2000



26683

PATENT TRADEMARK OFFICE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

JJGJr:03-01

Paper 5

JEFFREY THURNAU  
THE GATES CORPORATION  
MAIL STOP 31-4-1-A3  
900 S. BROADWAY  
DENVER, CO 80209

FAX RECEIVED

MAR 12 2001

In re Application of  
Putter, et al.  
Application No. 09/616,746  
Filed: 14 July, 2000  
Attorney Docket No.: 000-009A

PETITIONS OFFICE

ON PETITION

This Decision follows the response to the Notice of Missing Parts, treated under 37 C.F.R. §1.47,<sup>1</sup> specifically §1.47(a), and supplemented on 28 December, 2000, with a petition to correct inventorship under 37 C.F.R. §1.48(a).<sup>2</sup>

The petitions are **GRANTED**.<sup>3</sup>

With regard to the petition under 37 C.F.R. §1.48(a), Petitioner has demonstrated that the erroneous inclusion of James Nelson (Mr. Nelson) occurred without deceptive intent, with a declaration as available from Mr. Nelson and petition from registered Counsel Jeffrey Thurnau (Mr. Thurnau, Reg. No. 42,183).

<sup>1</sup> The regulations at 37 C.F.R. §1.47 provide:

**§1.47 Filing when an inventor refuses to sign or cannot be reached.**

(a) If a joint inventor refuses to join in an application for patent or cannot be found or reached after diligent effort, the application may be made by the other inventor on behalf of himself or herself and the nonsigning inventor. The oath or declaration in such an application must be accompanied by a petition including proof of the pertinent facts, the fee set forth in § 1.17(f) and the last known address of the nonsigning inventor. The Patent and Trademark Office shall, except in a continued prosecution application under § 1.53(d), forward notice of the filing of the application to the nonsigning inventor at said address and publish notice of the filing of the application in the Official Gazette. The nonsigning inventor may subsequently join in the application on filing an oath or declaration complying with § 1.63.

(b) Whenever all of the inventors refuse to execute an application for patent, or cannot be found or reached after diligent effort, a person to whom an inventor has assigned or agreed in writing to assign the invention or who otherwise shows sufficient proprietary interest in the matter justifying such action may make application for patent on behalf of and as agent for all the inventors. The oath or declaration in such an application must be accompanied by a petition including proof of the pertinent facts, a showing that such action is necessary to preserve the rights of the parties or to prevent irreparable damage, the fee set forth in § 1.17(l), and the last known address of all of the inventors. The Office shall, except in a continued prosecution application under § 1.53(d), forward notice of the filing of the application to all of the inventors at the addresses stated in the application and publish notice of the filing of the application in the Official Gazette. An inventor may subsequently join in the application on filing an oath or declaration complying with § 1.63.

[47 Fed. Reg. 41275, Sept. 17, 1982, effective Oct. 1, 1982; 48 Fed. Reg. 2709, Jan. 20, 1983, effective Feb. 27, 1983; revised, 62 Fed. Reg. 53131, Oct. 10, 1997, effective Dec. 1, 1997]

<sup>2</sup> The regulations at 37 C.F.R. §1.48 provide in pertinent part:

**§1.48 Correction of inventorship in a patent application, other than a reissue application.**

(a) If the inventive entity is set forth in error in an executed §1.63 oath or declaration in an application, other than a reissue application, and such error arose without any deceptive intention on the part of the person named as an inventor in error or on the part of the person who through error was not named as an inventor, the application may be amended to name only the actual inventor or inventors. When the application is involved in an interference, the amendment must comply with the requirements of this section and must be accompanied by a motion under §1.634. Such amendment must be accompanied by:

(1) A petition including a statement from each person being added as an inventor and from each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part;

(2) An oath or declaration by the actual inventor or inventors as required by §1.63 or as permitted by §§1.42, 1.43 or 1.47;

(3) The fee set forth in §1.17(i); and

(4) If an assignment has been executed by any of the original named inventors, the written consent of the assignee (see §3.73(b)).

[62 Fed. Reg. 53185, Oct. 10, 1997].

<sup>3</sup> Consistent with Petitioner's authorization, the \$130.00 fee for the petition under 37 C.F.R. §1.47(a) and the \$130.00 surcharge for late filing of Missing Parts (oath/declaration) are charged to Deposit Account 12-2475. The \$130.00 fee for the petition under 37 C.F.R. §1.48(a) previously was charged.

Application No. 09/616,746

2

With regard to the petition under 37 C.F.R. §1.47, Petitioner has shown that the non-signing inventor, Nick Sevastian (Mr. Sevastian) is unreachable at his last known address, or has refused or otherwise has failed to join in the filing of the above-identified application, and otherwise the above-identified application and papers have been reviewed and found in compliance with 37 C.F.R. §1.47(b).<sup>4</sup>

This application is hereby accorded Rule 1.47(b) status.

As provided in Rule 1.47(b), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the Declaration.

Notice of the filing of this application will also be published in the Official Gazette.

In addition, this file is being forwarded to OIPE for the issuance of a corrected filing receipt deleting the name of James E. Nelson as co-inventor, consistent with this decision.

Telephone inquiries regarding this communication should be directed to the Petitions Attorney John J. Gillon, Jr., at (703)305-9199.



John J. Gillon, Jr.  
Attorney  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

<sup>4</sup> A grantable petition under 37 C.F.R. 1.47(b) requires: (1) proof that the non-signing inventor cannot be reached or refuses to sign the oath or declaration; (2) an acceptable oath or declaration; (3) the petition fee; (4) a statement of the last known address of the non-signing inventor; (5) proof of proprietary interest; and (6) proof of irreparable damage.